The Journal of Organic Chemistry

Article

pubs.acs.org/joc

General Quantum-Based NMR Method for the Assignment of Absolute Configuration by Single or Double Derivatization: Scope and Limitations

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11 Supporting Information

12 **ABSTRACT:** The determination of the absolute configuration of chiral alcohols and 13 amines is typically carried out with modified Mosher methods involving a double-

14 derivatization strategy. On the other hand, the number of robust and reliable

15 methods to accomplish that goal using a single derivatization approach is much less

abundant and mainly limited to secondary alcohols or primary amines. Herein, we

17 report a conceptually novel strategy to settle the most likely absolute configuration of

18 a wide variety of substrates and chiral derivatizing agents following a single-

 $\begin{array}{c} XH\\ R_{1} & H\\ R_{2} & R_{3} \\ X = O, N, CO_{2}\\ n = 0, 1\\ CDA = MTPA, MPA, \\ MA, 9-AMA, MBC, etc \end{array} \begin{array}{c} XH\\ R_{1} & H\\ R_{2} & R_{3} \\ Single \\ derivatization \\ double \\ derivatization \\ 100\% \\ correctness \\ double \\ derivatization \\ double \\ doub$

derivatization experiment coupled with quantum calculations of NMR shifts and DP4+ analysis. Using an ambitious set of 114 examples, our methodology succeeded in setting the correct absolute configuration of the substrates in 96% of the cases. The

classification achieved with secondary alcohols, secondary amines, and primary amines herein studied was excellent (100%),

22 whereas more modest results (89%) were observed for primary and tertiary alcohols. Moreover, a new DP4+ integrated

23 probability was built to strengthen the analysis when the NMR data of the two possible diastereoisomers are available. The

24 suitability of these methods in solving the absolute configuration of two relevant cases of stereochemical misassignment

25 ((+)-erythro-mefloquine and angiopterlactone B) is also provided.

26 INTRODUCTION

²⁷ The determination of the absolute configuration (AC) is one ²⁸ of the most important and challenging stages during the ²⁹ structural elucidation of chiral molecules. To date, several ³⁰ methods are available, including X-ray crystallography ³¹ analysis,¹ chiroptical spectroscopy,² chemical synthesis,³ and ³² NMR analysis.⁴ Interestingly, all these methods might suffer ³³ from inaccuracies potentially leading to a wrong assignment,⁵ ³⁴ making AC determination a fervent area of research.

Among the different approaches that rely on the basis of 36 NMR spectroscopy, those involving chiral derivatizing agents 37 (CDA) are perhaps the most popular ones.⁴ A wide variety of 38 CDAs have been described, and in all cases, the strategy 39 involves the formation of a covalent linkage between the CDA 40 and the substrate. Commonly, the experiment requires two 41 derivatizations with both the (*R*)- and (*S*)-enantiomers of the 42 chiral reagent (CDA), in order to determine the difference in 43 chemical shifts of the nuclei of the substrate surrounding the 44 derivatized center ($\Delta \delta^{RS}$ values). Depending on the magnitude 45 and sign of the $\Delta \delta^{RS}$ values for the different substituent groups 46 of the substrate, the AC can be determined following a given 47 conformational model, which depends on the nature of the 48 substrate and CDA (Figure 1).



Figure 1. Schematic representation of the AC determination by NMR following a double-derivatization approach.

Since the pioneering work of Mosher in 1973 (introducing 49 the so-called Mosher reagent, methoxytrifluoromethylphenyl- 50 acetic acid, MTPA),⁶ the number of CDAs and methodologies 51 has increased significantly.⁴ Nowadays, robust and reliable 52 methods are available for primary, secondary, and tertiary 53 alcohols, diols, thiols, primary and secondary amines, 54 carboxylic acids, and sulfoxides, among others.⁴

Received: July 10, 2018 Published: September 4, 2018

The Journal of Organic Chemistry

One straightforward simplification to the process is to 56 57 restrict the experimental procedure to the synthesis of only one 58 of the two possible isomers resulting from either the (R)- or 59 the (S)-CDA. However, in such a single derivatization 60 alternative, the conclusion must be drawn with half of the 61 experimental information available in a double-derivatization 62 procedure. Therefore, the scenarios in which the former offers 63 confident results are narrow.⁴ One common approach limited 64 to secondary alcohols requires the use of 9-AMA (vide infra), 65 as the high anisotropy generated by the anthracene group often 66 generates $\Delta\delta$ values large enough to allow a safe assignment.⁷ 67 Another strategy was developed for α -chiral secondary alcohols 68 or primary amines with MPA as CDA and involves recording 69 the NMR spectra before and after modification of the 70 conformational equilibrium by lowering the temperature of 71 the probe or by complexation with barium salts (Figure 2).^{4,8}



Figure 2. Schematic representation of the different approaches to determine AC following a single derivatization.

In any case, the need for an accurate and robust 72 73 conformational model is required to understand the selective 74 shielding/deshielding induced by the aromatic group typically 75 present in most CDAs. In this regard, it is important to point 76 out that each conformation plays a different role in terms of 77 the strength and direction of the anisotropic effect of the 78 aromatic moiety on the neighboring groups. Hence, whenever 79 the real conformational equilibrium differs from the conformaso tional model developed to predict the AC, the analysis might ⁸¹ lead to a mistaken conclusion. This, coupled with small $\Delta\delta$ 82 values and sign inconsistencies, is one of the most common 83 sources of error in AC determination by CDAs.⁴ Another 84 typical mistake arises when using MTPA as CDA, since the 85 Mosher esters change their Cahn-Ingold-Prelog label when 86 obtained from the corresponding acid chlorides.

Herein, we propose a new and more general alternative for a wide variety of substrates and CDAs based on the outstanding ability of quantum methods to predict the NMR properties of molecules (Figure 2c).

⁹¹ Recent years have witnessed an exponential growth in the ⁹² field of structural or stereochemical assignment through ⁹³ quantum chemical calculations of NMR shifts and coupling ⁹⁴ constants.^{10–12} The need for accurate and reliable predictions ⁹⁵ has motivated the development of new and sophisticated ⁹⁶ methodologies (including CP3,^{13a} DP4,^{13b} DP4.2,^{13c} ANN-⁹⁷ PRA,^{13d,e} Case 3D,^{13f,g} DU8+,^{13h} and DiCE¹³ⁱ).^{10a} Among ⁹⁸ them, we have recently introduced the DP4+ probability as a ⁹⁹ promising and effective elucidation tool to determine the most ¹⁰⁰ probable 3D structure of complex organic molecules.¹⁴ We ¹⁰¹ showed that the inclusion of unscaled data and the use of higher levels of theory for the GIAO NMR calculation 102 procedure considerably improved the performance of the 103 method.^{14,15} 104

The ability of all of the current computational method- 105 ologies to differentiate among candidates bearing rigid 106 structures and contiguous or near-by stereocenters tends to 107 be excellent.^{13–15} Nevertheless, when two stereoclusters are 108 separated through flexible systems (such as methylenes, 109 nonstereogenic quaternary carbons, alkenes, heteroatoms, 110 etc.), the challenge of assessing the relative configuration 111 becomes much more complicated.¹⁶ In this regard, it is 112 important to point out that in all the CDA derivatives, the 113 stereocenters present in the substrate and CDA are separated 114 by a flexible system composed by at least two atoms. Despite 115 few isolated studies, $\frac{16a-c}{c}$ the effectiveness of quantum-based 116 NMR methods to tackle separated stereoclusters has not been 117 thoroughly covered yet. In addition, the lack of systematic 118 studies to fully explore the possibility of absolute configura- 119 tional assignment by NMR calculations motivated us to 120 evaluate the scope and limitations of DP4+ in this complex and 121 useful task. 122

RESULT AND DISCUSSION

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To achieve our goals, we selected an ambitious set of 114 124 examples of CDA derivatives of secondary alcohols (1-56), 125 primary alcohols (57-80), primary amines (81-94), secon- 126 dary amines (95–98), carboxylic acids (99–102), and tertiary 127 cyanohydrins (103-114) featuring a wide variety of structural 128 complexity and conformational freedom (Figure 3). Regarding 129 f3 the nature of the CDA, our selection covered the most popular 130 ones, including MTPA, methoxyphenylacetic acid (MPA), 131 mandelic acid (MA), acethylmandelic acid (Ac-MA), 9- 132 anthrylmethoxyacetic acid (9-AMA), and 2'-methoxy-1,1'- 133 binaphthalene-8-carbaldehyde (MBC). The absolute config- 134 urations of these compounds, many of them reported in earlier 135 publications on the study of AC determination by NMR, were 136 originally determined by well-known procedures (either the 137 chiral substrates were purchased in enantiomerically pure 138 forms, obtained from the chiral pool, or prepared using 139 standard asymmetric transformations). In most examples, the 140 key resonances were directly or indirectly (through the $\Delta \delta^{
m RS}$ 141 values) assigned in the original publications. In cases where the 142 experimental shifts of the least influential NMR data were 143 incompletely assigned to any specific nuclei (common practice 144 with carbon shifts), any remaining assignment was done by us 145 after detailed analysis of the experimental and calculated 146 chemical shifts and the experimental coupling constants and 147 $\Delta\delta$ values as well. Following the DP4+ general and 148 recommended procedure, the chemical shifts were computed 149 at the PCM/mPW1PW91/6-31+G**//B3LYP/6-31G* level 150 of theory using the GIAO method implemented in Gaussian 151 09.^{14,15} This level of theory was selected to afford good results 152 at relatively low computational cost.¹⁴ It is well-known that 153 flexible molecules impose an additional difficulty to the NMR 154 calculation process given the challenging conformational 155 sampling. For that reason, in order to minimize the possibility 156 of loosing significant rotamers, exhaustive conformational 157 searches were done prior to the DFT calculation stage (see 158 Computational Methods). With the shielding tensors in hand, 159 we evaluated the DP4+ performance in establishing the correct 160 absolute configuration of the studied compounds using the 161 Excel spreadsheet provided free of charge at sarotti-NMR. 162 weebly.com or as part of the Supporting Information of the 163



Figure 3. Test set of 114 CDA derivatives evaluated in this study.

¹⁶⁴ original reference.¹⁴ In all cases, we correlated the experimental ¹⁶⁵ NMR shifts of a given isomer with the calculated NMR values ¹⁶⁶ of both the correct isomer and the corresponding diaster-¹⁶⁷ eoisomer with the opposite configuration at the CDA or ¹⁶⁸ substrate moiety. In this regard, it is important to point out ¹⁶⁹ that the experimental (and calculated) shifts of \mathbf{x} -(S)-CDA ¹⁷⁰ must be identical than those of *ent*- \mathbf{x} -(R)-CDA, as they are ¹⁷¹ enantiomers.

¹⁷² We started our study by evaluating the performance of ¹⁷³ DP4+ in the determination of the absolute configuration α -

chiral secondary alcohols (compounds 1–56), among the most 174 deeply studied and evaluated substrates using Mosher-type 175 methods.⁴ As depicted in Figure 4, upon correlating the 176 f4 computed NMR shifts of the two possible candidates of each 177 compound with the corresponding experimental values, 178 excellent levels of correct classification by DP4+ were achieved. 179 In all cases, the correct isomer was identified as the most likely 180 candidate, with DP4+ values ranging from 54% to >99.9%. In 181 86% of the cases, the right assignment was done in high overall 182 confidence (DP4+ > 80%), which represents a noteworthy 183



Figure 4. Overall performance of DP4+ computed for compounds 1– 114. Any value above the red line indicates that the correct isomer was identified as the most likely candidate (DP4+ >50%) and above the green line designates that the assignment was done in high confidence (DP4+ >80%).

184 result given the separation of the stereoclusters and the fact 185 that only one set of experimental data was employed.

These encouraging results motivated us to turn our attention 186 187 to primary alcohols bearing stereogenic centers at the β -188 position. The main difficulty surrounding AC determination of 189 primary alcohols is linked to the higher conformational 190 flexibility of the resulting CDA derivative and the larger 191 separation between the groups neighboring the β -carbon and 192 the aromatic fragment in the auxiliary reagent.⁴ For that 193 reason, there are few methods to determine AC of primary 194 alcohols, and all of them involve a double-derivatization 195 approach.¹⁷ In addition, some substrates cannot be safely 196 assigned as their conformational behavior does not follow the 197 model developed to rationalize the $\Delta \delta^{RS}$ values (for example, 198 alcohols X, Y and Z, Figure 3).^{17a} In order to explore the 199 classification ability of DP4+ in the case of singly derivatized 200 primary alcohols, we selected 20 examples of 9-AMA 201 derivatives (compounds 57-74 and 79-80, Figure 2), 202 including those three examples that could not be exper-203 imentally solved (compounds 61-66). We also tested four 204 derivatives of MTPA (compounds 75-78, Figure 3), a 205 nonrecommended reagent for these types of substrates. 206 Interestingly, DP4+ performed nicely in this challenging test 207 set, with 22 cases correctly assigned (Figure 4) and 82% of them being made in high confidence (DP4+ > 80%, Figure 4). 208 209 On the other hand, in only two examples was the incorrect 210 isomer selected in higher probability (compounds 74 and 75). 211 Notably, using our DP4+ formalism, the most likely 212 configuration of the six diastereoisomers 61-66 could be 213 successfully predicted in high confidence when the correspond-214 ing experimental NMR shifts collected for 61-66 were used. 215 Hence, the absolute configuration of challenging alcohols X, Y, 216 and Z could be correctly assessed even using a singlederivatization procedure (Figure 5). 217

We also tested DP4+ in other derivatives, including primary 218 219 amines (compounds 81-94), secondary amines (compounds 95-98), carboxylic acids (compounds 99-102), and tertiary 220 cyanohydrins (compounds 103-114). In the case of primary 221 222 amines, we also covered MBC derivatives (compounds 87-94). This last CDA is different to the others under study, 223 which share a similar structural motif of α -branched carboxylic 224 225 acid. In contrast, MBC is a chiral binaphthalene aldehyde that 226 reacts with a primary amine to afford a chiral imine yielding 227 often higher $\Delta \delta^{RS}$ values than those observed for other CDA 228 reagents (such as MTPA or MPA).¹⁸ The results afforded by 229 DP4+ were excellent, with 32 out of 34 examples being 230 successfully classified (Figure 4). This is a noteworthy 231 outcome, as it is known that these motifs might be difficult

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Figure 5. Determination of the absolute configuration of alcohols **X**, **Y**, and **Z** following a single-derivatization strategy and DP4+ analysis.

substrates for AC determination, even from a double- 232 derivatization perpective.⁴

To sumarize, using a test set of 114 examples, DP4+ 234 succeeded in assessing the right absolute configuration in 96% 235 of the cases (Figure 4), which is a noteworthy score given the 236 flexibility of the systems under study and the separation of the 237 two stereoclusters in the molecule. Moreover, in 83% of the 238 cases the assignment was done in high confidence (DP4+ 239 80%, Figure 4).

Scaled vs Unscaled Shifts. Apart from the level of theory 241 employed during the NMR calculation procedure, the main 242 feature of our DP4+ probability is the use of both scaled and 243 unscaled shifts to correlate with the experimental values. 244 Briefly, the scaling is a common procedure to remove 245 systematic errors according to $\delta_s = (\delta_{calc} - b)/m$, where b 246 and m are the intercept and slope, respectively, obtained from 247 the plot of δ_{calc} against δ_{exp} . Such scaling factors (b and m) can 248 be determined in two different ways, namely^{10b} (a) using the 249 NMR data from large databases (for example, see: http:// 250 cheshirenmr.info), in which the scaling factors b and m depend 251 exclusively on the level of theory, or (b) from a plot of δ_{calc} 252 against δ_{exp} for each particular compound under study. In this 253 approach, the factors b and m vary not only with the level of 254 theory but also with the experimental NMR values. Both DP4 255 and DP4+ were built using this last option,^{13a,14} which was the 256 method of choice in this study. Naturally, upon scaling, the 257 computed shifts (δ_s) are closer to the experimental values 258 (δ_{exp}) than the corresponding unscaled shifts (δ_{calc}) . However, 259 we have shown that there are two potential drawbacks related 260 to this practice. On one side, the magnitude of the individual 261 errors (differences between experimental and calculated shifts) 262 becomes independent from the chemical environment of the 263 molecule, which in general should not be the case. On the 264 other hand, scaling might lead to false positives when an 265 incorrect isomer affords an unforeseen better fit with the 266 experimental data. Hence, DP4+ was built as a function of two 267 contributions, sDP4+ and uDP4+, which reflect the probability 268 distributions when using exclusively scaled and unscaled shifts, 269 respectively. We demonstrated that the inclusion of unscaled 270 shifts significantly improved the classification performance of 271 DP4+, correcting in many cases a wrong assignment made by 272 sDP4+.¹⁴ This interesting compensation was also found in a 273 recent benchmark study of the use of DP4+ in the 274 stereoassignment of spiroepoxides or related quaternary 275 carbon-containing oxiranes.¹⁵ In an attempt to rationalize the 276 role of scaled and unscaled shifts when dealing with Mosher- 277 type derivatives, we next analyzed the contributions of sDP4+ 278 and uDP4+ in the 114 examples herein discussed. As shown in 279

280 Figures S2 and S3, the classification performance dropped 281 when using the scaled or unscaled data alone. For instance, 282 sDP4+ and uDP4+ reproduced the correct absolute config-283 uration in 103 and 99 cases, respectively, representing 90% and 284 87% of correct classification, respectively. These values were 285 lower than the 96% observed with the full DP4+ formalism, 286 clearly indicating a virtuous compensation between sDP4+ and 287 uDP4+. In fact, the failure of sDP4+ in assigning the correct 288 isomer was corrected by uDP4+ leading to a correct result (for 289 example, in compounds 9, 44, 53, 57, 59, 65, 74, 78, 98, and 290 104) or vice versa (for example, in compounds 13, 25, 33, 35, 291 54, 60, 71, 73, 75, 82, 83, 84, 99, and 108). In contrast, when 292 dealing with isomers showing similar ¹H NMR shifts (vide 293 infra), this error compensation might not be achieved, 294 potentially leading to a wrong assignment. In fact, this was 295 the source of error in the case of compounds 74 and 75 (two 296 of the four incorrectly assigned by DP4+ in this study). When 297 correlating the experimental NMR data of 74 with the computational data of 73 and 74, the incorrect isomer 73 298 showed higher sDP4+ values (94.79% vs 5.21%), whereas the 299 uDP4+ values was higher for 74 (81.76% vs 18.24%). 300 Unfortunately, the correction introduced by uDP4+ was no 301 302 enough to turn back the bad assignment made by sDP4+, 303 leading to an overall DP4+ of 19.77% for 74 and 80.23% for 73. The exact opposite situation was observed for the pair 75 304 (DP4+ = 18.34%) and 76 (DP4+ = 81.66%) when using the 305 306 experimental NMR data of 75, as sDP4+ (65.24% in favor of 307 75) was unable to revert the incorrect trend exerted by uDP4+ 308 (89.31% in favor of 76). It is important to point out that in the 309 two last cases (73 vs 74 and 75 vs 76), minor differences were 310 noticed in the calculated shifts for each isomer (with mean 311 absolute error differences, Δ MAE, defined as the difference in 312 the mean absolute error between the two candidate structures 313 of only 0.02 ppm, and corrected mean absolute error 314 differences, Δ CMAE, of 0.03 ppm (pair 73–74) and 0.01 $_{315}$ ppm (pair 75–76).

Proton Data vs Carbon Data. Apart from the type of data 316 317 (scaled or unscaled), we also aimed to understand the effect of 318 the nucleus type (proton or carbon) in terms of the 319 classification ability of DP4+. Although proton data was 320 suggested to be more discriminating than carbon data for the 321 stereochemical assignment of organic molecules,¹⁹ we showed 322 that both types of data were equally important from a DP4+ perspective using a broad set of diastereoisomers.^{14,15} 323 324 However, as expected when dealing with Mosher-type 325 derivatives, we found that proton data are, by far, the most 326 relevant for AC determination. As shown in Figures S4 and S5, 327 whereas 92% of the examples were correctly reproduced by H-328 DP4+ (that is, the DP4+ probability computed using only 329 proton data), the outcomes drastically worsened upon 330 computing DP4+ with only carbon data (C-DP4+), showing 331 only a 59% of right assignment. Nevertheless, a constructive 332 compensation of errors was noticed in some cases in which a 333 bad assignment made by H-DP4+ was corrected by C-DP4+ 334 (for example, compounds 4, 25, 35, 60, 71, and 108), 335 suggesting that whenever possible both types of NMR shifts 336 should be employed. The fact that proton data were more relevant in the stereoassignment of Mosher-type diaster-337 338 eoisomers was fully consistent with the differences in the 339 experimental NMR shifts exhibited in the diastereoisomeric 340 pairs. In general, whereas the proton shifts can be significantly 341 affected by the anisotropy exerted by the aromatic group at the 342 CDA moiety, the effect on the carbon shifts is often much

lower.²⁰ Hence, whenever the ¹H NMR shifts of the two ³⁴³ possible diastereoisomers show high similarity, a slight random ³⁴⁴ error in the computed ¹³C shifts might lead to a wrong ³⁴⁵ conclusion. This was the case of the failure of DP4+ when ³⁴⁶ correlating the calculated NMR data of **103** and **104** with the ³⁴⁷ experimental shifts of **104**. Here, the proton data computed for ³⁴⁸ **104** showed a closer fit with the experimental values than **103** ³⁴⁹ (CMAE 0.04 ppm vs 0.05 ppm, respectively), whereas the ³⁵⁰ opposite trend was found with carbon data (CMAE 1.89 ppm ³⁵¹ vs 1.77 ppm, respectively). Accordingly, the H-DP4+ values ³⁵² were higher for **103** (95.2%), whereas the C-DP4+ values were ³⁵³ higher for **104** (98.3%), shifting the overall DP4+ toward **104** ³⁵⁴ (74.07%).

Scope and Limitations of DP4+ in Single-Derivatiza- 356 **tion Methods.** The high classification performance offered by 357 DP4+ offers an entry to a new single-derivatization strategy 358 (Figure 2). In this way, the experimental shifts collected for a 359 single diastereoisomer could be correlated with the theoretical 360 shifts computed for the two possible candidates using DP4+ to 361 determine the most likely relative configuration. Finally, with 362 the knowledge of the absolute configuration of the CDA 363 employed, the absolute configuration of the substrate can be 364 easily guessed. 365

This approach can be illustrated with the results obtained $_{366}$ with the (S)-MPA derivative of *endo*-borneol (A, Figure 6). By $_{367}$ f6



Figure 6. Schematic representation of the use of DP4+ in determining absolute configuration through a single-derivatization approach.

correlating the experimental NMR data of A-(S)-MPA 368 (compound 1) with the calculated values of the two possible 369 diastereoisomers (A-(S)-MPA and *ent*-A-(S)-MPA, or equiv- 370 alently, A-(R)-MPA), DP4+ identifies A-(S)-MPA as the most 371 likely structure. As a result, since the configuration of the CDA 372 is known, the absolute configuration of A can be correctly 373 defined as 1(S), 2(R), 4(S). It should be important to highlight 374 that the conclusion can be drawn with only the experimental 375 NMR information on one isomer. 376

Apart from the apparent operational benefits associated with 377 the preparation of only one isomer, particularly when the 378 amount of sample is low, the present alternative does not 379 require acquiring NMR spectra under special conditions. 380 Moreover, it can be used for a broader range of substrates and 381 CDAs, including systems that can only be solved by double 382 derivatizations (for instance, primary alcohols, MTPA 383 derivatives of secondary alcohols and primary amines, etc.).⁴ 384 In addition, since the procedure involves a free conformational 385 sampling, it is not needed to follow a predetermined and fixed 386 conformational model, which could not reflect the real 387 equilibrium in certain systems. 388

As in the experimental determination of AC by NMR, the ³⁸⁹ main limitation of the present methodology arises when the ³⁹⁰ two CDA derivatives show very similar NMR spectra (that is, ³⁹¹ small $\Delta\delta^{RS}$ values). Hence, fortuitous errors in the calculations ³⁹² of the ¹H or ¹³C shifts might lead to a wrong assignment ³⁹³

394 (which was the situation in the four cases incorrectly assigned 395 by DP4+). For that reason, and despite the fact that the overall 396 confidence in the assignments cannot be higher than 96% 397 (which is the general classification capacity observed in this 398 study), the present methodology affords more robust results 399 when dealing with α -chiral secondary alcohols, secondary 400 amines, and primary amines, which are expected to yield higher 401 $\Delta \delta^{RS}$ values. In fact, according to our results, these types of 402 substrates were correctly classified in all cases. On the other 403 hand, the assignment made for primary and tertiary alcohols 404 (known to afford lower $\Delta \delta^{RS}$ values, mainly in their MTPA or 405 MPA derivatives) must be taken more cautiously. In this study, 406 we tested 36 primary and tertiary alcohols, observing a 89% of 407 correctness in the AC determination. Still, given the simplicity 408 in the overall procedure, DP4+ can be an excellent alternative 409 to suggest the most likely absolute configuration when the 410 NMR data of only one CDA derivative is known.

411 **DP4+ in Double-Derivatization Methods. DIP proba**-412 **bility.** Our present methodology can be also useful in the most 413 popular double-derivatization approach. Since two different 414 and independent DP4+ results are obtained in that case, two 415 possible scenarios could be drawn depending on the values 416 provided by each result: either the most likely candidate in 417 both cases has the same absolute configuration at the target 418 substrate (matched) or not (mismatched) (Figure 7).





In the matched case, in turn, there are two possibilities: 419 420 either DP4+ succeeds in assessing the correct configuration in 421 both cases or it fails badly by simultaneously pointing toward 422 the wrong candidate. However, according to the results 423 presented herein, this latter case is highly unlikely. Setting 424 the probability of DP4+ to afford a correct assignment to 96% 425 (as we showed in this study), the probability associated with 426 two consecutive wrong assignments could be guessed as $0.04 \times$ 427 0.04 = 0.16%. In fact, such a situation did not take place in any 428 of the 54 diastereoisomeric pairs under study (Figure 3). 429 Hence, it should be postulated that, whenever the two DP4+ 430 results point toward the same direction, both assignments are 431 likely to be correct. On the other hand, in a mismatched case a 432 more subtle analysis arises because one of the DP4+ results 433 must be right and the other, inevitabl wrong. To unravel this 434 issue, we developed a new probability distribution by merging 435 the two individual DP4+ results. This DP4+ integrated 436 probability (DIP) can be computed as shown in Figure 7, 437 where $P_{[X=S]}$ and $P_{[X=R]}$ accounts for the combined probability $_{438}$ that the correct configuration of the target molecule is S or R, 439 respectively, which in turn can be computed as the product of the two individual DP4+ values corresponding for that specific $_{440}$ configuration (X = S or R, respectively). $_{441}$

To understand the correction introduced by DIP in the few 442 mismatched cases located, a detailed discussion regarding the 443 assignment of **AV** will be given (Figure 8). After correlating the 444 f8



Figure 8. Schematic representation of the use of DP4+ in determining absolute configuration through a double-derivatization approach.

NMR data of 103 (the (R)-MPA derivative of AV) with the 445 calculated shifts of (S)-AV-(R)-MPA and (R)-AV-(R)-MPA 446 (equivalent to (S)-AV-(S)-MPA), DP4+ correctly identified 447 the former as the most likely candidate. According to this 448 result, the absolute configuration of cyanohydrin AV should be 449 set as S. However, when the experimental NMR data of the 450 (S)-MPA derivative (compound 104) were used, isomer [(R)- 451 AV-(S)-MPA] was the most probable one, suggesting that the 452 absolute configuration of AV should be R. Taking collectively 453 the two results, the DIP calculation correctly predicted the 454 absolute configuration of (S)-AV in 98.6% probability 455 $(\text{DIP}_{[X=S]} = 0.9950 \times 0.2593 / (0.9950 \times 0.2393 + 0.0050 \times 456)$ (0.7407) = 0.9858). In this regard, it is important to emphasize 457 that [(R)-AV-(S)-MPA] and [(S)-AV-(R)-MPA] are enan- 458 tiomers (the same accounts for [(R)-AV-(R)-MPA] and [(S)-459]AV-(S)-MPA]), and for that reason, there is no need to 460 compute the four possibilities, just one isomer of each pair. 461

Naturally, DIP calculations can be also performed to 462 reinforce the analysis in matched situations. In this case, the 463 result of integrating the two DP4+ values is to increase the 464 certainty in the given assignment (Table S2 and Figure S6). 465

When computing the DIP probabilities for the 54 466 diastereoisomeric pairs under study, we were delighted to 467 observe that the absolute configuration of the substrate was 468 correctly reproduced in all cases, with DIP values ranging from 469 61% to >99.9% (Figure S6). In addition, in 96% of the cases 470 the assignment was made in high certainty (DIP > 80%), 471 indicating the power of the method when following a double- 472 derivatization approach. 473

Finally, to demonstrate the usefulness of our methodology in 474 the determination of the absolute configuration of natural and 475 synthetic products using quantum calculations of NMR shifts, 476 two recent and controversial case studies will be given and 477 discussed. 478

Case Study 1. (+)*erythro***-Mefloquine.** The asymmetric 479 total synthesis of a natural product is usually taken as a proof of 480 structural and configurational identify. However, in some cases, 481 the determination of the absolute configuration can be further 482

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483 evasive, as in the case of (+)-*erythro*-mefloquine (compound 484 **AS**, Figure 9). Briefly, *rac-erythro*-mefloquine (commercially



Figure 9. Temporal evolution in the assignment of the absolute configuration of (+)-*erythro*-mefloquine.

485 known as Lariam) was developed by Roche in the 1970s as an 486 antimalarial agent. One of the major problems associated with 487 this drug is the neuropsychiatric adverse effect generated by 488 the levorotatory enantiomer.²¹ Given the sharp differences 489 exhibited by the two enantiomers in terms of pharmaceutical 490 activities, several researchers attempted to determine the 491 absolute configuration (+)-erythro-mefloquine. The results 492 were, however, ambiguous and controversial. Carroll and co-493 workers first suggested the (11R,12S) configuration for the 494 dextrorotatory isomer based on circular dichroism (CD) and 495 empirical rules (ent-AS, Figure 9),^{22a} whereas Karle settled the 496 same configuration for the levorotatory isomer using the 497 anomalous signal from single-crystal X-ray diffraction.²²⁵ The 498 first total synthesis of (+)-erythro-mefloquine by Xie et al. in 499 2008 supported the assignment of Carroll,^{22c} but a more recent 500 study by Griesinger, Reinscheid and co-workers combining 501 NMR, ORD, CD and DFT techniques suggested that Karle 502 was right.^{22d} However, two additional total syntheses reignited ⁵⁰³ the dispute by claiming the (11*R*,12*S*) configuration for ⁵⁰⁴ (+)-*erythro*-mefloquine.^{22e,f} To terminate this puzzling sit-505 uation, Reinscheid, Dittrich, Griesinger, and co-workers 506 determined the (11S,12R) configuration for the (+)-isomer 507 by X-ray analysis of the resulting MTPA amides of the two 508 enantiomers of erythro-mefloquine.²³ A few months later, 509 Sonnet and co-workers arrived at the same conclusion by X-ray 510 crystallography, CD spectroscopy, and molecular modeling,^{22g} 511 and their results were further validated by three total syntheses 512 published the same year.^{22h-j}

513 To understand how our methodology could have been 514 useful to settle this 40-year controversy, we computed the 515 NMR shifts of the two (R)- and (S)-MTPA amides of 516 (11S,12R)-erythro-mefloquine (compounds 97 and 98, Figure 517 3). Upon correlating with the experimental NMR data 518 collected for the (R)-MTPA derivative of (+)-erythro-519 mefloquine, isomer 97 (AS-(R)-MPTA) was identified as the 520 most likely one by our DP4+ calculations (>99.9%, Figure 10), 521 allowing us to set the 11S,12R configuration for the 522 dextrorotatory isomer. The same conclusion was achieved 523 when the experimental shifts of the (+)-erythro-mefloquine-

Experimental NMR from	Calculated NMR from	DP4+	Most likely configuration
(+)- <i>erythr</i> o- mefloquine (<i>R</i>)-MTPA	AS -(<i>R</i>)-MTPA	>99.9%	AS (11 <i>S</i> ,12 <i>R</i>)
	AS -(<i>S</i>)-MTPA [∭] <i>ent-</i> AS -(<i>R</i>)-MTPA	<0.1%	
(+)- <i>erythro-</i> mefloquine (S)-MTPA	AS -(<i>R</i>)-MTPA ∭ <i>ent-</i> AS-(<i>S</i>)-MTPA	1.5%	AS (11 <i>S</i> ,12 <i>R</i>)
	AS-(S)-MTPA	98.5%	

Figure 10. DP4+ in the assignment of the absolute configuration of (+)-*erythro*-mefloquine.

(S)-MTPA amide were used, with isomer **98** being correctly $_{524}$ classified in high confidence (98.5%). Hence, DP4+ could $_{525}$ predict the correct configuration of *erythro*-mefloquine using a $_{526}$ single-derivatization approach by preparing either of the two $_{527}$ MTPA diastereoisomers. Naturally, using the NMR shifts of $_{528}$ the two derivatives (double derivatization), the DIP calcu- $_{529}$ lations correctly predict the 11*S*,12*R* configuration in high $_{530}$ probability (>99.9%).

Case Study 2: (+)-Angiopterlactone B. This complex 532 bis-lactone metabolite was isolated from the rhizome of 533 *Angiopteris caudatiformis* by Zou and co-workers in 2009.²⁴ 534 The plane structure and relative configuration were determined 535 by extensive NMR and MS studies, further verified by X-ray 536 crystallography analysis. Using the CD excitation chirality 537 method, the authors suggested the 4*R* and 3'*R* configurations, 538 whereas the configuration at C-6' was settled as *S* by the 539 modified Mosher method. Hence, the absolute configuration of 540 (+) - angioperlactone B was assigned as 541 4*R*,5*S*,6*S*,2'*R*,3'*R*,4'*S*,6'*S* (compound *ent*-U, Figure 11).²⁴ 542 fm



Figure 11. DP4+ in the assignment of the relative and absolute configuration of (+)-angiopterlactone B.

However, in 2017, Lawrence and co-workers accomplished 543 the first total synthesis of the proposed structure of 544 (+)-angiopterlactone B and observed that the synthetic sample 545 displayed opposite sign in the optical rotation ($[\alpha]_D = -25$) 546 compared to that reported for the natural product ($[\alpha]_D = 547$ +22), suggesting the need for revision of the original 548 structure.^{25a} Few months later, Bhattacharya and co-workers 549 independently arrived the same conclusion through the 550 synthesis of the two enantiomers of angiopterlactone B, 551 showing that the natural dextrorotatory isomer has the 552 4*S*,*SR*,*GR*,*2'S*,*3'S*,*4'R*,*6'R* configuration (compound U, Figure 553 11).^{25b}

To show the power of our computational tools in 555 establishing both the relative and absolute configurations of 556 natural products, we carried out an in silico reassignment of 557 (+)-angiopterlactone B. According to our computational work, 558 when the NMR data of the natural product were correlated 559 with the calculated shifts of all possible 64 diastereoisomers, 560 DP4+ identified the correct relative configuration in high 561

f10

562 probability (Figure 11). Next, to determine which enantiomer 563 should be the correct (+)-angiopterlactone B, we computed 564 the NMR shifts of the two possible MPTA esters for further 565 comparison with the experimental data of the corresponding 566 derivatives. However, after detailed analysis of the information 567 provided by the isolation team, we concluded that the authors 568 did not consider the change in the Cahn-Ingold-Prelog label 569 when preparing the MTPA esters from the corresponding acid 570 chlorides.²⁶ In fact, when correlating the NMR shifts computed 571 for 55 (U-(S)-MTPA, equivalent to ent-U-(R)-MTPA) and 56 572 (U-(R)-MTPA, equivalent to ent-U-(S)-MTPA) with the 573 experimental shifts of the (R)-MTPA ester of (+)-angiopter-574 lactone B (which according to our hypothesis were originally 575 reported for the (S)-MTPA derivative),²⁶ DP4+ suggests that 576 56 is the most likely one (69%, Figure 11). Since the 577 configuration of the MTPA is R, the absolute of the natural 578 product should be U. Following a similar reasoning, when 579 using the experimental NMR shifts of the (S)-MTPA ester 580 (originally reported for the (R)-MTPA ester), structure 55 was 581 now the most likely candidate by DP4+ (72%), reinforcing the 582 previous assignment. Combining the two DP4+ results using 583 our DIP probability, the absolute configuration of natural 584 (+)-angiopterlactone B can be defined as 585 4S,5R,6R,2'S,3'S,4'R,6'R, in excellent accordance with the 586 synthetic evidence.²⁵ Admittedly, without having access to 587 authentic sample of the natural product the previous analysis 588 only represents a sound explanation for the origins of the 589 misassignment.

590 CONCLUSION

591 In summary, the classification ability of DP4+ has been 592 thoroughly evaluated in 114 examples of CDA derivatives 593 featuring a wide diversity of structural and stereochemical 594 motifs. The performance of the method varied from very good 595 to excellent, depending upon the nature of the CDA and the 596 substrate, allowing the assignment of the most likely absolute 597 configuration of alcohols and amines following a single 598 derivatization approach. The classification level observed 599 with secondary alcohols, secondary amines, and primary 600 amines was high (100%), whereas in the case of primary and 601 tertiary alcohols the results were more modest (89%). 602 Moreover, in the most typical scenario of a double 603 derivatization, the two independent DP4+ results can be 604 combined into a single DIP probability, which correctly 605 identified the AC of all the 54 diastereoisomeric pairs under 606 study.

On the basis of these results, we suggest that DP4+ emerges 607 608 as a powerful and simple tool to suggest the absolute 609 configuration of organic molecules, which could be used in 610 combination with other techniques to reinforce or challenge a 611 certain assignment.

612 **EXPERIMENTAL SECTION**

Computational Methods. All of the DFT calculations were 613 614 performed using Gaussian 09.²⁷ For all compounds depicted in Figure 615 3 and the corresponding diastereoisomer with the opposite 616 configuration at the CDA or substrate moiety, the conformational 617 searches were done in the gas phase using the MMFF force field 618 (implemented in Spartan '08).²⁸ The rotatable bonds were analyzed 619 typically following a 6-fold sampling without constraints. All ring-flip 620 conformations of compounds containing flexible ring systems were 621 also considered. It is well-known that the NMR calculations of flexible 622 systems offer additional challenges given the possibility of losing 623 relevant conformations during the conformational search stage. For

that reason, we carried out systematic conformational searches, and all 624 conformers within a 10 kcal/mol window from the global minima 625 were kept for further geometry optimization at the DFT level. The 626 choice for the 10 kcal/mol of cutoff was set as a balance between 627 reducing the overall CPU calculation time and minimizing the 628 possibility of losing further contributing conformers. The number of 629 conformations obtained in each case varied significantly with the 630 overall flexibility of the system, ranging from few dozens to >500. 631 Final geometry optimization was carried out at the B3LYP/6-31G* 632 level of theory in the gas phase (including frequency calculations to 633 identify the nature of the stationary points found). The conformations 634 within 2 kcal/mol from the B3LYP/6-31G* global minima were 635 subjected to NMR calculations. Moreover, we randomly replicated 636 the conformational searches of some compounds at the MM+ level 637 using Hyperchem²⁹ (including the four compounds incorrectly 638 assigned by DP4+) and did not find any additional significantly 639 populated rotamer after B3LYP/6-31G* optimization stage. The 640 magnetic shielding constants (σ) were computed using the gauge 641 including atomic orbitals (GIAO) method,³⁰ the method of choice to 642 solve the gauge origin problem,¹⁰ at the PCM/mPW1PW91/6- 643 31+G** level of theory. The calculations in solution were carried out 644 using the polarizable continuum model, PCM,³¹ with chloroform as 645 the solvent. The unscaled chemical shifts (δ_n) were computed using 646 TMS as reference standard according to $\delta_{u} = \sigma_{0} - \sigma_{x}$ where σ_{x} is the 647 Boltzmann averaged shielding tensor (over all significantly populated 648 conformations) and σ_0 is the shielding tensor of TMS computed at 649 the same level of theory employed for σ_x . The Boltzmann averaging 650 was done according to eq 1

$$\sigma^{x} = \frac{\sum_{i} \sigma_{i}^{x} \mathbf{e}^{(-E_{i}/RT)}}{\sum_{i} \mathbf{e}^{(-E_{i}/RT)}}$$
(1) 652

where σ_i^x is the shielding constant for nucleus x in conformer *i*, R is 653 the molar gas constant (8.3145 J K⁻¹ mol⁻¹), T is the temperature 654 (298 K), and E_i is the energy of conformer *i* (relative to the lowest 655 energy conformer), obtained from the single-point NMR calculations 656 at the corresponding level of theory. The scaled chemical shifts (δ_s) 657 were computed as $\delta_s = (\delta_u - b)/m$, where m and b are the slope and 658 intercept, respectively, resulting from a linear regression calculation on 659 a plot of δ_u against δ_{exp} . The DP4 calculations were carried out using 660 the Applet from the Goodman group (at www-jmg.ch.cam.ac.uk/ 661 tools/nmr/DP4/). The DP4+ calculations were carried out using the 662 Excel spreadsheet available for free at sarotti-NMR.weebly.com, or as 663 part of the Supporting Information of the original paper.¹ 664

ASSOCIATED CONTENT 665

S Supporting Information

666 The Supporting Information is available free of charge on the 667 ACS Publications website at DOI: 10.1021/acs.joc.8b01749. 668

Detailed DP4+ probabilities computed for all com- 669 pounds, detailed DIP probabilities computed for all 670 diastereoisomeric pairs, full list of experimental chemical 671 shifts (with references), GIAO isotropic shielding 672 tensors, and B3LYP/6-31G* Cartesian coordinates 673 (with energies) of all compounds evaluated in this 674 study (PDF) 675

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683 **ACKNOWLEDGMENTS**

684 This research was supported by UNR (BIO 316), ANPCyT 685 (PICT-2016-0116), and PhosAgro/UNESCO/IUPAC (Green 686 Chemistry for Life Program, project 121).

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