

Encapsulation of phenolic compounds by spray drying of Ancellotta and Aspirant Bouchet wines to produce powders with potential use as natural food colorants

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1 **Abstract**

2 Ancellotta and Aspirant Bouchet, *Vitis vinifera* L., are wines with a high anthocyanin
3 concentration that have been used to increase color in high-quality red wines. This work was
4 focused on the encapsulation of Ancellotta (ANCE) and Aspirant Bouchet (AB) wines by spray drying
5 and obtaining wine powders (WP) with a high concentration of phenolic compounds. Spray drying
6 conditions were optimized and the phenolic compounds in the WP were characterized. All WP were
7 evaluated for moisture content, water activity, color parameters, anthocyanins, and flavan-3-ols
8 composition. There was no significant difference in total anthocyanins content of ANCE and AB WP
9 when inlet air temperature increased from 135 to 145°C with a fixed carrier concentration of 8%
10 (w/w) but a decrease in the total anthocyanins was observed when carrier concentration increased
11 to 10% (w/w). Regarding color evaluation, ANCE and AB WP corresponded to the fourth quadrant of
12 color space CIELAB indicating blue - red color (348–357°), characteristic of anthocyanins and wine. In
13 general, the values of hue angle were not affected at higher percentages of carrier agent,
14 consequently, an increase in the ratio carrier-wine did not lead to a dilution of material. These
15 results indicate that inlet air temperature (in the selected range) did not influence the anthocyanin
16 profile in the WP and has allowed for optimization of the conditions to obtain phenolic-rich colored
17 powders with potential use as natural antioxidants and food colorants.

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19 **Keywords:** Ancellotta – Aspirant Bouchet - anthocyanins – spray drying – encapsulation — natural
20 colorants.

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35 1. INTRODUCTION

36 Over the last decades, consumers have become more conscious of food ingredients,
37 boosting the food industry in the search for novel sources and processes to obtain natural
38 ingredients. On the other hand, the wine sector is evolving because of the new trends in wine
39 consumption. Moreover, the arise of the non-alcoholic wine market has generated new demands
40 related to wine-based products (Di Giacomo & Romano, 2021).

41 Red wine contains a wide range of phenolic compounds that are extracted from red grape
42 skin and seeds during winemaking. This is why red wine consumption has been positively correlated
43 with health benefits due to its composition of phenolic compounds content (Opie & Lecour, 2007;
44 Apostolidou et al., 2015). Nevertheless, the medical community recommends only moderate
45 consumption of red wine, due to the risks linked to excessive alcohol consumption (Weiskirchen &
46 Weiskirchen, 2016). Moreover, part of the population abstains from wine and alcohol consumption
47 for various reasons (religion, culture, health, age, etc.) and are deprived of the advantages of these
48 compounds (Stanco et al., 2020).

49 The potential health benefits of phenolic compounds are mainly related to their antioxidant
50 activity (Lu et al., 2021). Many research studies have evidenced that an increase of these compounds
51 in the diet could contribute to the reduction of oxidative damage and chronic diseases (Chen et al.,
52 2020; Cianciosi et al., 2020). According to their chemical structure, phenolic compounds can be
53 divided into flavonoids and non-flavonoids. The main flavonoid compounds present in red wine
54 comprise several classes such as flavanols [e.g., (epi)(gallo)catechins, procyanidins and
55 prodelphinidins], flavonols (e.g., myricetin and quercetin) and anthocyanins (e.g., malvidin-3-
56 glucoside), while non-flavonoid compounds present in wine include phenolic acids, phenols, and
57 stilbenes (Fernandes et al., 2017). During winemaking, the phenolic compounds are extracted from
58 grape skin and seeds. In the particular case of anthocyanins, they are extracted since the beginning
59 of fermentation whereas flavan-3-ols require the presence of ethanol for extraction (Maza et al.,
60 2019). Furthermore, chemical reactions of phenolic compounds are particularly important in wine
61 because they are responsible for color and taste changes that occur in wine during winemaking and
62 aging (Fernandes et al., 2017).

63 In the food industry, color is one of the main sensory parameters that influence consumers'
64 choices. Anthocyanins are water-soluble phenolic compounds responsible for blue, red, or purple
65 color in fruits, flowers, vegetables, and wine (Sinopoli et al., 2019). Therefore, anthocyanins can be
66 used as natural food colorants with health benefits. However, one general disadvantage of
67 anthocyanins, and phenolic compounds, is their lack of long-term stability being susceptible to

68 degradation, oxidation, epimerization, and polymerization during production and storage processes.
69 Light, heat, pH variations, enzymatic activities, humidity, metal ions, and oxygen can modify their
70 color and antioxidant capacity (Galmarini et al., 2013; Turturică et al., 2015).

71

72 Encapsulation by spray drying is defined as the entrapment of a bioactive compound or
73 sensitive ingredient (core material) within an immiscible substance (carrier). The carrier provides a
74 physical barrier between the core compounds and the environment (Tyagi et al., 2011; Mahdavee
75 Khazaei et al., 2014). The main advantage of spray-drying compared with other encapsulation
76 technologies is that it is more suitable for industrial scale-up, and heat-sensitive substances and it
77 improves stability and shelf life at a reasonable cost (Tyagi et al., 2011; Ozkan et al., 2019).

78

79 Parameters in the spray drying process, such as inlet and outlet air temperatures, carrier
80 concentration, and type of carrier have a direct impact on final product characteristics (Fazaeli et al.,
81 2012). Additionally, material carrier selection for encapsulation plays a crucial role in efficient spray
82 drying (Tolun et al., 2016). Maltodextrin (MD) is extensively used as a carrier material in spray drying
83 to satisfy demand and low cost, non-toxicity, exceptional biocompatibility, high solubility, low
84 viscosity, odorless and tasteless and turned out to be essential in preserving the integrity of
85 anthocyanins during their encapsulation (Fang & Bhandari, 2010; Lu et al., 2021). Nevertheless,
86 previous studies have demonstrated that a combination of MD with gum arabic (MD:GA) has an
87 acceptable level of water solubility, encapsulation efficiency, and stability, and helps to overcome
88 some difficulties, such as stickiness and high hygroscopicity, that could appear during spray drying
89 process when using only MD (Aguiar et al., 2016; Labuschagne, 2018).

90

91 The drying/encapsulation of red wine in presence of adequate carbohydrates (e.g., MD) can
92 lead to water and alcohol removal obtaining a glassy amorphous microstructure in which the
93 phenolic compounds of wine are entrapped (Alvarez Gaona et al., 2018a). Moreover, the wine
94 industry can take advantage of using wines with some alterations originating in the vinification
95 process (e.g., volatile acidity, *Brettanomyces* taint), overstock wine, and wine by-products as raw
96 material to encapsulate wine's phenolic compounds (Alvarez Gaona et al., 2018a,b), thus helping the
97 sustainable development and promoting the circular economy in the wine industry.

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99

100 Aspirant Bouchet (AB) is a teinturier grape (*Vitis vinifera* L.) that has red anthocyanin
101 pigments in the pulp and skin of the berry. It is used as a color enhancer in high-quality red wines,
102 and it is cultivated worldwide for this purpose. Furthermore, AB teinturier varieties typically contain
103 monoglycosylated anthocyanins, malvidin-3-glucoside is the most important pigment in this grape
104 variety (Muñoz et al., 2009). Therefore, AB as teinturier wine can be used to produce natural color
105 powders rich in bioactive compounds such as anthocyanins. On the other hand, Ancellotta (ANCE) is
106 not a teinturier grape variety, but the wine obtained from it has high anthocyanin content as
107 compared with other wine varieties namely Malbec, Merlot, or Pinot Noir. The differences between
108 wines in the present work were reflected in their dry extract values of 2.57 ± 0.06 % w/w and $3.22 \pm$
109 0.06 % w/w for ANCE and AB wine, respectively. In Argentina in the last two decades, the cultivated
110 surface of AB has increased by +3.178 acres and by +1,983 acres for the ANCE variety (INV, 2021).

111

112 In a previous study Alvarez Gaona et al., (2018a) reported that the threshold inlet air
113 temperatures required for successful spray drying of Cabernet Sauvignon wine were comprised
114 between 135 and 170°C, whilst carrier amount could be lower than 13.5% (w/w). However, carrier
115 type and percentage addition lower than 13.5%, were not studied. Alvarez Gaona et al., (2019) used
116 ANCE wine to obtain a WP by spray drying and its potential use as a natural colorant in the food
117 industry was explored. Therefore, the inlet air temperature threshold and the carrier type and
118 percentage were selected as starting points for this study. So far there is no published data related
119 to detailed phenolic compounds from Argentinean AB wine and there are no studies related to spray
120 drying of AB wine to be used as a natural powder colorant.

121

122 Hence, the objectives of this research were to characterize, by HPLC-DAD-MS, the
123 anthocyanin and flavanol composition of Argentine ANCE and AB wines and to evaluate spray drying
124 conditions, namely inlet air temperature (135°C and 145°C), carrier percentage (8% and 10 % w/w)
125 and type of carrier material (MD_{DE10} and MD:GA) to obtain encapsulated WP. The study was
126 conducted in both wine varieties to evaluate the performance of a teinturier wine (AB) and a non-
127 teinturier wine (ANCE).

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134 **2. MATERIALS AND METHODS**

135 **2.1. Reagents**

136 The carrier materials used were maltodextrin DE10 Dextrose Equivalent 10 (MD_{DE10}) from
137 Ingredion S.A (Buenos Aires, Argentina) and gum arabic (GA) from Gelfix (Buenos Aires, Argentina).

138 All solvents were HPLC grade, and all chemicals were analytical reagent grade. Acetonitrile
139 (Fisher Scientific, Waltham, MA, USA/ Labkem, Barcelona, Spain), trifluoroacetic acid (TFA) (Riedel-
140 de Haen, Hanover, Germany), and formic acid (PROLABO, Fontenay-sous-Bois, France) were used as
141 solvents in HPLC analysis.

142 Anthocyanin standards were purchased from the Polyphenol Laboratory (Sandnes, Norway).

143 Chlorogenic acid was purchased from Sigma-Aldrich (St. Louis, MO, USA) and added as an
144 internal standard in a final concentration of 0.025 mg mL⁻¹ for the flavan-3-ols analysis.

145 Ultrapure water was obtained from a Milli-Q Element water purification system (Millipore,
146 Milford, Mass., U.S.A.).

147

148 **2.2. Red wines**

149 Monovarietal dry red wines, namely, *Vitis vinifera* L. cv ANCE and AB from the 2021 vintage
150 were produced, provided, and analyzed by Experimental Agricultural Station Mendoza, National
151 Institute of Agricultural Technology (INTA) after fermentation and stabilization.

152 The values reported by INTA for ANCE and AB wine compositions were as follow: alcohol % (v/v)
153 12.07 and 12.05; total acidity 5.59 g and 5.15 g as tartaric acid/L; dry extract 2.57 ± 0.06 % w/w and
154 3.22 ± 0.06 % w/w; pH 3.80 ± 0.01 and 3.30 ± 0.01 for ANCE and AB, respectively.

155

156 **2.3. Experimental design and spray-drying**

157 Spray drying experiments were performed according to experimental design with 2-factor
158 levels (+1 high level and -1 low level), with a total of 8 treatments (C1 to C8; **Table 1**). Inlet drying air
159 temperature (135°C and 145°C), type (MD_{DE10} and MD:GA), and percentage (8% and 10 % w/w) of
160 the carrier were selected as independent variables. Moisture content, water activity, anthocyanin,
161 flavan-3-ols content, and CIELAB parameters were the variables selected as responses.

162 Encapsulation was performed in a mini spray dryer Buchi model B-290 (Büchi Laboratoriums
163 Technik, Switzerland), under operating conditions previously used by Alvarez Gaona et al., (2019):
164 feed flow rate 600 g/h; flow meter spraying air (rotameter) 30 mm; 0.23 bar pressure drop; and
165 L/h actual volume flow (at standard temperature and pressure).

166

167 **2.4. Water activity**

168 Water activity (a_w) was measured using a dew-point hygrometer Aqualab Series 3 (Decagon
169 Devices, USA), previously calibrated against standard saturated salt solutions.

170

171 **2.5. Dry Extract**

172 Ten (10) g of each wine (liquid) sample were weighed in tared glass containers and dried in a
173 constant temperature convection oven for 2 h at 105°C. Then cooled for 1 h in a glass desiccator and
174 re-weighed to calculate the dry extract content.

175

176 **2.6. Yield of Encapsulation Process**

177 The yield of the encapsulation process was calculated (in percentage, %), as the ratio of the
178 total dry weight of powder obtained (W_2) and the dry weight of material in its feed mixture (W_1).

179

$$\text{Yield (\%)} = (W_2 / W_1) \times 100$$

180

181 **2.7. HPLC-DAD-MS analysis of anthocyanins**

182 ANCE and AB wines were analyzed in triplicate utilizing HPLC-DAD-MS after dilution (1:3) in
183 acidified water (HCl 0.1 N) and filtration using a Millex® syringe-driven Filter unit (0.45 mm)
184 (Millipore Corporation, Bedford, MA, USA).

185 Samples of WP of each treatment were prepared by dissolving 0.06 ± 0.002 g to a final
186 volume of 2 mL with acidified water (HCl 0.1 N). Afterward, it was diluted (1:1) with acidified water
187 (HCl 0.1 N), filtered using a Millex® syringe-driven Filter unit (0.45 mm) (Millipore Corporation,
188 Bedford, MA, USA), and analyzed by HPLC-DAD-MS.

189 HPLC–DAD analysis was performed in a Hewlett-Packard 1100 series liquid chromatography.
190 An AQUA C18 reverse-phase, 5 μ m, 150 mm \times 4.6 mm column (Phenomenex®, Torrance, CA, USA)
191 thermostatted at 35°C, was used. The solvents used were: (A) an aqueous solution (0.1%) of
192 trifluoroacetic acid (TFA) and (B) 100% HPLC-grade acetonitrile. The gradient was: isocratic 10% B for
193 5 min, from 10 to 15% B for 15 min, isocratic 15% B for 5 min, from 15 to 18% B for 5 min, and from
194 18 to 35% B for 20 min, at a flow rate of 0.5 mL min⁻¹. Detection was carried out at 520 nm as the
195 preferred wavelength and spectra were recorded from 220 to 600 nm (Alcalde-Eon et al., 2007). The
196 mass analyses were carried out in positive mode (ESI+) (Alcalde-Eon et al., 2019). Three types of
197 mass experiments were performed: a full mass analysis (EMS mode, collision energy (CE) 10 V),
198 where all ions were detected, and an MS² analysis (EPI mode, CE 30 V), where major ion of full mass

199 analysis was fragmented and an MS³ analysis (CE 30 V, excitation energy (AF2) 80 V), where major
200 fragment ion of the MS² analysis was, in turn, fragmented. Spectra were recorded between *m/z* 150
201 and 1400.

202 The identification of anthocyanins was carried out using the chromatographic, spectral, and
203 mass spectrometric data and by comparison to literature. Calibration curves prepared from
204 anthocyanin standards (delphinidin, cyanidin, petunidin, peonidin, and malvidin 3-O-glucosides)
205 were used for quantification (Alcalde-Eon et al., 2007).

206 The total anthocyanin content (TAC) was calculated from the sum of individual
207 concentrations obtained for each compound and was expressed in mg L⁻¹ of wine or mg per 100
208 grams of WP.

209

210 **2.8. HPLC-DAD-MS analysis of flavan-3-ols**

211 ANCE and AB wines were diluted with acidified water (HCl 0.1 N), in a 1:1 ratio (in volume).
212 WP of each treatment was prepared by dissolving 0.06 ± 0.002 g of powder to a final volume of 2 mL
213 with acidified water (HCl 0.1 N). Before HPLC-DAD-MS analysis' wines and WP samples were
214 fractionated following the procedure reported by Alcalde-Eon et al., (2014). Briefly, 2 mL of diluted
215 samples were loaded on an Oasis MCX cartridge (Waters Corporation, Milford, MA, USA) previously
216 conditioned. After washing with water (4 mL), flavonols were eluted with 8 mL of methanol,
217 afterwards, the eluate was concentrated under reduced pressure and re-dissolved in 0.5 mL of
218 water. Then, chlorogenic acid was added as an internal standard at a final concentration of 1.0 mg
219 min⁻¹.

220 The HPLC-MS analysis was performed in a Hewlett-Packard 1200 series liquid
221 chromatograph (Agilent Technologies, Waldbronn, Germany). The stationary phase was an Agilent
222 Poroshell 120 EC-C18 column (150 mm × 4.6 mm, 2.7 µm) thermostatted at 25°C. The mobile phase
223 was composed of solvent A, 0.1% (v/v) formic acid aqueous solution, and solvent B, HPLC grade
224 acetonitrile. The following elution profile was used at a flow rate of 0.5 mL min⁻¹: from 100% to 90%
225 A for 3 min, from 90% to 85.5% A for 34 min, from 85.5% to 80% A for 3 min, from 80% to 65% A for
226 15 min, from 65% to 40% A for 5 min, and a final isocratic gradient of 40% A for 3 min (García-
227 Estévez et al., 2017). The mass spectrometer was connected to the HPLC system via the DAD cell
228 outlet. MS detection was performed in a 3200 Qtrap (Applied Biosystems, Darmstadt, Germany)
229 equipped with an ESI source and a triple-quadrupole linear ion trap mass analyzer and controlled by
230 Analyst 5.1 software. Multiple reaction monitoring analysis (MRM mode) was employed to detect

231 transitions (each parent ion – daughter ion pair) corresponding to each kind of flavanol and the
232 internal standard following the procedure described by García-Estévez et al., (2017).

233 The total content of each kind of flavanol was expressed in mg L⁻¹ of wine or mg per 100
234 grams of WP.

235 **2.9. Color**

236 Color parameters of WP were determined using a MINOLTA CM-600d colorimeter (Konica-
237 Minolta INC., USA), with the illuminant D65 and an observation angle of 2°. The measurement was
238 made by placing 1.5 g of each WP in a plastic container with a white background. The values of L* to
239 b* (CIELAB) were recorded directly from the equipment. All measurements were made in triplicate.
240 Chroma (C*), hue (H°), and whiteness index (WI) values were calculated using equations (1) to (3):

$$241 \quad C^* = \sqrt{a^{*2} + b^{*2}} \quad (1)$$

$$242 \quad H^\circ = \arctan\left(\frac{b^*}{a^*}\right) \quad (2)$$

$$243 \quad WI = 100 - [(100 - L)^2 + a^2 + b^2]^{1/2} \quad (3)$$

244 Color difference (ΔE^*_{ab}) was calculated between treatments of ANCE and AB WP, under the
245 same conditions, as the euclidean distance between two points (1 and 2) in three-dimensional (L*,
246 a*, b*) space (equation 4).

$$247 \quad \Delta E^*_{ab} (L^*_1, a^*_1, b^*_1; L^*_2, a^*_2, b^*_2) = [(\Delta L^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2]^{1/2} \quad (4)$$

248 where $\Delta L^* = L^*_1 - L^*_2$, $\Delta a^* = a^*_1 - a^*_2$, and $\Delta b^* = b^*_1 - b^*_2$.

249

250 **2.10. Statistical analyses**

251 All measurements were performed in triplicate. Results were expressed as means \pm standard
252 deviation (SD). Data were analyzed by one-way analysis of variance (ANOVA) and was followed by a
253 Tukey's honestly significant difference (HSD) test.

254 Total anthocyanin and flavan-3-ols contents of ANCE and AB WP were analyzed by two-way
255 analysis of variance (ANOVA) and regression analysis to examine the statistical significance (at
256 $p < 0.05$) of the experimental response of inlet air temperature, type, and percentage of the carrier.

257 All analyses were done using InfoStat software.

258 **3. RESULTS AND DISCUSSION**

259 **3.1 Water activity, moisture content, and yield in WP**

260 a_w is an important factor controlling processability, handling properties, and stability of
261 spray-dried powders. Previous works showed that WP with a_w values lower than 0.30 can be stored
262 for 90 days under controlled conditions, with minimal risk of stickiness, caking, and anthocyanins
263 degradation (Alvarez Gaona et al., 2018a,b; 2019).

264

265 **Table 1** summarizes drying conditions and physicochemical characteristics of ANCE and AB
266 WP. The average a_w value for the eight treatments of ANCE WP was 0.17 ± 0.002 and, the lowest a_w
267 value was obtained with treatment C4 (145°C and 8% MD_{DE10}). The moisture content was, on
268 average, $4.31 \pm 0.58\%$ and the lowest value was $3.66 \pm 0.06\%$ (also obtained with treatment C4),
269 whereas the highest moisture content was $5.29 \pm 0.24\%$ under conditions of treatment C5 (135°C
270 and 10% MD:GA). The moisture contents of ANCE WP obtained were lower than 5.5%, similar to
271 those values previously reported for ANCE WP with MD_{DE10} (Alvarez Gaona et al., 2019), to those
272 from jaboticaba peel extracts spray-dried with MD (Silva et al., 2013) and those from by-products of
273 Bordo grapes using MD as agent carrier (de Souza et al., 2015).

274

275 AB WP's treatments had the same average a_w value as ANCE WP (0.17 ± 0.002). However,
276 the lowest a_w value was obtained with treatment C2 (145°C and 8% MD:GA). With regards to
277 moisture content, the average value was $3.96 \pm 0.46\%$, with the lowest moisture content
278 determined for treatment C6 (145°C and 10% MD:GA) and the highest one for treatment C1 (135°C
279 and 8% MD:GA).

280

281 The a_w values in all treatments were below or equal to 0.25, low enough for WP stability
282 (Alvarez Gaona et al., 2018a,b). As for moisture results, the highest content was related to inlet air
283 temperature of 135°C and MD:GA as a carrier, and the lowest outlet air temperature was 67°C
284 (ANCE) and 66°C (AB). Prinn, Constantino, & Tracy, (2002) published that such a low outlet
285 temperature is undesirable because it leads to elevated residual moisture or high-level of a_w in the
286 spray-dried powders. Tonon et al., (2008) indicated that anthocyanins retention would inevitably be
287 cut back an outlet air temperature above 90°C. The WP with 10% of carrier and minor moisture
288 content was due to the increase in total solids before spray drying which reduced the amount of
289 water for evaporation.

290

291 Nonetheless, there was not a significant correlation between a_w and outlet air temperature.
292 This may be attributed to the fact that spray drying may be considered a sort of random process
293 during atomization. The breakup of liquid feed into a large number of droplets of different sizes
294 reduces internal resistances to moisture transfer influencing drying time and water content.

295

296 Low a_w and moisture values are necessary to avoid caking phenomena during storage and
297 losses of bioactive compounds (Alvarez Gaona et al., 2018a,b; 2019).

298

299

--- Table 1 insert here ---

300 The yield, expressed as a percentage, was calculated as a ratio between the solid amount
301 obtained after the spray drying process and the solid amount in the feed solution (dry base) (**Table**
302 **1**). The values were similar to those obtained in previous works made with ANCE and Cabernet
303 Sauvignon WP (Alvarez Gaona et al., 2018a,b; 2019). The highest yield in ANCE WP and AB WP (69%
304 and 67%, respectively) was obtained in treatment C8 (145°C and 10% MD_{DE10}). It should be noted
305 that with both wines the best yield was obtained in treatments with MD_{DE10} (\approx 64%). These values
306 were higher than those determined for spray drying powders obtained for jussara pulp, which
307 ranged between 33.88% and 76.55% (Santana et al., 2016), and for beetroot juice, which ranged
308 between 41.31% and 54.63% (Bazaria & Kumar, 2016).

309

310 Fazaeli et al., (2012) and Daza et al., (2016) reported that the temperature process
311 influences the amount of powder recovered. When drying temperature and carrier agents increase
312 leads to an increased yield, which can be attributed to better water removal and the protective
313 effect of the carrier at higher concentrations, avoiding stickiness of material in the dry chamber wall.
314 These results indicate a limit carrier percentage to obtain the highest yield.

315

316 **3.2. Anthocyanin profile**

317 **Table 1** indicates the total anthocyanin retention values for all WP. The percentage retention
318 was calculated considering total anthocyanins content in WP (mg /100 g WP) after the spray drying
319 process and total anthocyanins content in wine (mg /100 g wine). High anthocyanin retention was
320 observed, ranging from 90 to 100% and 86 to 100% for ANCE and AB WP, respectively. Retention of
321 close to 100% suggests that anthocyanins were not affected by temperatures of 135 and 145°C used
322 in the spray drying process. These results agree with previous studies done with Cabernet Sauvignon
323 and ANCE wines (Alvarez Gaona et al., 2018a,b; 2019). Also, similar anthocyanin retention values
324 were reported for Bordo grape skin extract microencapsulated by spray drying using GA (de Souza et

325 al., 2015), partially hydrolyzed guar gum, and polydextrose as encapsulating agents (Kuck & Noreña,
326 2016). de Souza et al., (2015) found a range of anthocyanins retention among 88.3 – 93.8% when
327 10% MD was used for encapsulated grape skin aqueous extract.

328

329 The highest anthocyanin retention for ANCE WP was found in treatments C3 and C4 with 8%
330 MD_{DE10} as a carrier at both temperatures evaluated (135 and 145°C). Moreover, no effect was shown
331 in retention percentage when increasing inlet air temperature, with the same percentage of MD_{DE10}
332 and MD:GA. In AB WP, the highest retention was observed for treatment C2 (145°C and 8% MD:GA),
333 showing a slight increase in anthocyanin retention when the inlet air temperature was increased. An
334 increase (+10°C) in inlet air temperature used during the spray drying process resulted in minimal
335 effect on anthocyanin content, which is in agreement with results previously reported by Alvarez
336 Gaona et al., (2018a) for spray drying of Cabernet Sauvignon.

337

338 As can be observed in **Table 1**, a carrier increase (as %) has a negative effect on retention
339 which can be explained due to a dilution effect. In specific treatments (C8 ANCE; C2, C4, and C6 AB)
340 an increase in inlet air temperature revealed greater anthocyanin retention which could be due to
341 fast evaporation in the spray drying process and to better protection achieved by carrier agent
342 (similar performance with MD_{DE10} and MD:GA). Tonon et al., (2008) observed a decrease in
343 anthocyanin retention in acai juice with increased drying temperatures. Ersus & Yurdagel, (2007)
344 also found loss of anthocyanin with higher spray drying temperatures in black carrots using three
345 types of MD. However, these last authors found a slight increase in anthocyanin content in samples
346 dried at 180°C, compared with those at 160°C, when MD_{DE10} was used, and similar performance was
347 observed in the present work for WP encapsulated MD_{DE10}.

348

349 **Table 2** summarizes the detailed profile obtained by HPLC-DAD-MS of ANCE and AB wines
350 (mg L⁻¹ liquid wine) that were subsequently used in the spray drying process to obtain WP. Results
351 show that the total anthocyanin content was superior in AB than in ANCE wine. Even though it is
352 known that anthocyanin content in AB is higher than in typical wine varieties, the profile adds new
353 information about their composition and distribution.

354

355 AB wine, which is a teinturier variety, shows a concentration of anthocyanins almost two-
356 fold higher than ANCE wine (**Table 2**). But, in both wines, the ratio between non-acylated and
357 acylated anthocyanins was very similar, being non-acylated group the main type of anthocyanins,

358 followed by acetylated and **p-coumaroyl** and caffeoyl derivatives. Malvidin-3-glucoside was the main
359 anthocyanin in non-acetylated and derivatives compounds. However, some differences were found in
360 the pigment profile. The main difference is related to a higher content of peonidin and cyanidin
361 derivatives (mainly glucosides) in AB wines than in ANCE. In fact, the ratio between di-substituted
362 anthocyanidins (peonidin and cyanidin) and tri-substituted anthocyanidins (delphinidin, petunidin,
363 and malvidin) in AB wines is 1:3 whereas in ANCE wines is 1:15. Also, the proportion of derivative
364 pigments in AB is lower than in ANCE, mainly the proportion of A-type vitisins. This is the first time
365 that AB pigment composition has been reported while the ANCE results coincide with those
366 previously published for ANCE wine (Alvarez Gaona et al., 2019).

367

368

--- Table 2 insert here ---

369 Anthocyanin profile was also determined for ANCE and AB WP after spray drying in order to
370 evaluate the impact of the process on pigment composition. **Figure 1 (a)** and **(b)** show the content of
371 non-acetylated, acetylated, **p-coumaroyl**, and caffeoyl, direct condensation products, flavanol-
372 anthocyanin adducts, vitisin vinylphenol pyranoanthocyanins, A and B-type vitisins in ANCE and AB
373 WP spray-dried under different conditions. As was observed in wines, non-acetylated derivatives were
374 the most abundant group of anthocyanins pigments in WP (71% ANCE and 75% AB) as compared to
375 acetylated and **p-coumaroyl** and caffeoyl forms.

376

--- Figure 1 insert here ---

377

378 It should be noted that total anthocyanins content decreased significantly ($p < 0.05$) when the
379 carrier was scaled up to 10% (w/w) (treatments C5 to C8), independently of carrier type (MD_{DE10} or
380 **MD:GA**) and wine variety, owing to a dilution effect as mentioned before and suggesting a better
381 encapsulation at 8%. The observed behavior for individual anthocyanin compounds in dried WP may
382 be also attributed to the protection afforded by 8% MD_{DE10} and **MD:GA**, mainly because the ratio of
383 the carrier: wine dry extract is high (2.5:1 ANCE and 3:1 AB) (Gibbs et al., 1999). In ANCE and AB WP,
384 the decrease of anthocyanin content was not significant in treatments (C5 through C8) with the
385 same carrier %, even though a different carrier type and inlet air temperature were used during the
386 spray drying process. ANCE WP obtained by treatment C3 had the highest total anthocyanins
387 content (1014mg/100 g WP), followed by C4 (995 mg/100 g WP) and C1 (966 mg/100 g WP), all with
388 8% of the carrier. In AB WP, the greatest content was found for treatment C2 (1539 mg/100 g WP)
389 also with **8%** of the carrier.

390 Results reported by Mahdavee Khazaei et al., (2014) in microencapsulated saffron petal
391 anthocyanin with GA and MD indicated a decrease in anthocyanin content raising MD level. The
392 same phenomenon was reported by Tonon et al., (2010) using different carrier agents including MD
393 for spray drying of acai juice; Jafari et al., (2017) in the anthocyanin content of pomegranate juice
394 powder spray dried with three MD levels, and by Murali et al., (2015) for encapsulation of black
395 carrot juice using spray and freeze-drying using MD_{DE20}. Bednarska & Janiszewska-Turak, (2020)
396 studied the relationship between inlet air temperature (160 and 200°C), carrier type (MD, GA, and
397 MD:GA), and contents of total anthocyanins in chokeberry juice powders obtained after spray
398 drying, and statistical analysis showed that carrier type had no significant impact on anthocyanin
399 content. Similar results were observed in the present work for total anthocyanins and derivatives.
400 Therefore, carrier percentage affected the total anthocyanins compounds in the dried WP, but the
401 anthocyanin derivatives proportion was maintained (**Figure 1 (a) and (b)**).

402

403 Overall, considering all spray drying conditions, the most convenient treatments to get an
404 elevated anthocyanin concentration to seem to be: C3 (135°C and 8% MD_{DE10}) for ANCE and C2
405 (145°C and 8% MD:GA) for AB.

406

407 **Table 3** shows the detailed anthocyanins profile (mg anthocyanin/100 g WP) of the
408 treatments with the maximum anthocyanin concentration (C3 for ANCE and C2 for AB).

409

410 --- **Table 3 insert here** ---

411

412 As expected, malvidin-3-glucoside was the predominant anthocyanin (in wines and WP),
413 mostly followed by malvidin-3-acetylglucoside and malvidin-3-*p*-coumaroylglucoside. The cyanidin
414 derivatives showed the lowest proportion, confirming the behavior observed in previous work
415 (Alvarez Gaona et al., 2019). In terms of anthocyanin distribution according to the type of
416 anthocyanidin, in ANCE WP the second most abundant anthocyanidin was delphinidin and in AB WP
417 it was peonidin, like it was observed in wines. Also, the ratio between di-substituted and tri-
418 substituted anthocyanins was the same in WP as in wine, which confirms that spray-drying does not
419 change the pigment profile of wines.

420

421 The achieved results of total anthocyanin retention and profile validate the optimal
422 conditions for ANCE WP were achieved in treatment C3 (135°C and 8% MD_{DE10}), and for AB WP, C2
423 (145°C and MD:GA) had the highest anthocyanin content. The combination of MD:GA had been

424 previously studied (Akhavan Mahdavi et al., 2016; Mazuco et al., 2018; Papillo et al., 2018) as the
425 most common combination and it has shown better encapsulation properties than MD due to better
426 emulsification. However, in the present study, no significant differences were found in the
427 anthocyanin profile between MD_{DE10} and MD:GA for ANCE and AB WP, which points out that, in the
428 case of wine, this mixture of carrier agents works as MD by itself.

429
430

431 **3.3. Flavan-3-ols profile**

432 The flavan-3-ols profiles of ANCE and AB WP (mg/ 100 g WP) were determined by HPLC-MS
433 (García-Estévez et al., 2017). To simplify the discussion of results about flavan-3-ols composition,
434 these compounds were grouped according to the type of flavan-3-ol [catechins and procyanidins
435 (PC) and gallocatechins and prodelphinidins (PD)], and their polymerization degree (monomers,
436 dimers, trimers, tetramers, and pentamers). A detailed profile obtained of ANCE and AB wines
437 vintage 2021 (mg L⁻¹), is shown in the appendix section (**Table A.1**). The identity of each compound
438 was assessed through its chromatographic retention time. The results obtained show that the total
439 flavan-3-ols content was superior in ANCE (339 ± 22 mg L⁻¹) than in AB (267 ± 27 mg L⁻¹) wine, and
440 catechins and procyanidins (PC) were the major groups in both wines varieties. However, the profile
441 shows that the total value of gallocatechins and prodelphinidins (PD) is higher in AB (13 ± 3 mg L⁻¹)
442 wine than in ANCE (8 ± 1 mg L⁻¹).

443 **Figure 2 (a) and (b)** show the concentration of each group of flavan-3-ol determined for the
444 different treatments assayed in this work.

445
446

--- Figure 2 insert here ---

447 The total flavan-3-ols content in the different treatments of ANCE WP ranged from 424 to
448 872 mg/100g with a mean content of 634 mg/100 g. Regarding AB WP, the total flavan-3-ols content
449 ranged from 284 to 375 mg/100g with a mean content of 338 mg/100g. As expected, the total
450 flavan-3-ol in AB WP was roughly 50% lower than ANCE WP, bearing in mind that AB is a teinturier
451 variety and that the flavan-3-ol content in wines was higher in ANCE than AB.

452
453

454 In AB WP, the total catechins and procyanidins (PC) derivatives percentages were higher than
455 gallocatechins and prodelphinidins derivatives (PD), the distribution was found in a range among 93 to
456 94% for PC and 5.5 to 7.0% for PD, in the eight AB treatments. In terms of distribution, monomer
derivatives represented 37% of total PD derivatives and dimers derivatives around 60% of PC derivatives.

457 ANCE WP also showed an elevated percentage of PC and a low percentage of PD derivatives,
458 97%, and \approx 3%, correspondingly. The monomers derivatives corresponded to 40% of total flavan-3-ol
459 and dimers stand out among the total PC derivatives with a 60% value. Moreover, in ANCE WP
460 observed a decrease in PC derivatives when carriers scale up to 10%. However, this effect was not
461 observed in AB WP.

462

463 Finally, for AB WP the highest content was found in treatment C3 (135°C and 8% MD_{DE10}) for
464 ANCE the highest content was obtained in treatment C4 (145°C and 8% MD_{DE10}). **Table A.2** in the
465 appendix section shows a detailed profile composition.

466

467 The flavan-3-ol profiles of ANCE and AB WP are useful for assessing the best spray drying
468 conditions to obtain the highest content, taking into account that the flavan-3-ol compounds can
469 improve the anthocyanin chemical stability through copigmentation. Moreover, the interactions
470 between flavan-3-ols and anthocyanins can also increase the health-promoting properties of these
471 natural colorants through additive or synergistic effects (Gordillo et al., 2018).

472

473 **3.4. Color in WP**

474 To have a better overview of the influence of spray drying conditions on color, ANCE and AB
475 WP were studied regarding their tristimulus parameters (**Table 4**).

476

477 --- **Table 4 insert here** ---

478

479 **Table 4** shows that the highest values of L* and WI were obtained in treatments with an
480 inlet air temperature of 135°C and 10% of the carrier (C5 and C7 for ANCE; and C5 for AB). The
481 increase in carrier percentage resulted in a rise of L* and WI due to the dilution effect owing to
482 carriers, bearing in mind that MD_{DE10} and GA are white powders (Ferrari et al., 2013; Pereira et al.,
483 2020). Similar results were observed by Caliskan & Dirim, (2016) for sumac extract powders
484 obtained by spray drying with a significant increase in brightness values with MD raising
485 concentration. Nogueira et al., (2020) reported that blackberry powders with lighter colors were
486 obtained when prepared with high encapsulating agent concentration. Moreover, statistical
487 differences were found in L* and WI values when inlet temperature was increased to 145°C using
488 the same percentage and type of carrier, except for C3 and C4 treatments in AB WP. These results
489 agree with Quek et al., (2007), who reported that an increase in inlet air temperature caused a
490 reduction in L* values of spray-dried watermelon powders.

491 The highest value for a* parameter was observed for treatment C3 (a*= 25.3), and this can
492 be related to the aforementioned high anthocyanins content in this sample. On the other hand, the
493 lowest values were obtained for ANCE WP with the carrier of 10% and 145°C, independently of
494 carrier type. These results point out that WP shows lesser redness colors when a high-ranking carrier
495 percentage was used, which is in good agreement with results previously reported by Jafari et al.,
496 (2017). With regards to AB WP treatments, the maximum a* value was 29.4 for treatment C5 (135°C
497 and 10% MD:GA) with no significant differences with treatment C2 (treatment with the highest
498 anthocyanin content). Amongst inlet air temperature, carrier type, and percentage no significant
499 differences were obtained to a* values.

500

501 The hue (H°) values determined for ANCE and AB WP obtained by eight treatments (C1 to
502 C8) corresponded to the fourth quadrant of color CIELAB space regardless of inlet air temperature,
503 carrier percentage, and carrier type used in spray drying. This indicates blue-red color in powders
504 (348–357°), characteristic of anthocyanins (Table 4). A similar result was found for Bordo grape skin
505 aqueous extract encapsulated with 10% MD by spray drying (de Souza et al., 2015) and grape (*Vitis*
506 *labrusca* var. Bordo) skin phenolic extract encapsulated with GA, polydextrose, and partially
507 hydrolyzed guar gum as carriers (Kuck & Noreña, 2016). AB WP showed the highest hue (H°) highest
508 values and closest to the red hues (hue = 360°) and also higher chroma values, which means that
509 these WP have elevated saturation or color purity. To be precise, a mean of 28.6 chroma values for
510 AB WP and 24.6 chroma values for ANCE WP were determined, which are desirable characteristics in
511 WP to be used as a natural colorant. In general, the hue values were not affected at a high level of
512 carrier percentage, consequently, an increase in the ratio carrier-wine seems not to lead to a dilution
513 of material in hue terms. As mentioned before in section 3.2. and as shown in Figure 1, anthocyanin
514 profiles of ANCE and AB WP (mg/100 g powder) were not significantly different in total anthocyanin
515 concentration between treatments with the same carrier percentage, [(treatments C1 to C4 with
516 8%) and (treatments C5 to C10 with 10%)]. Therefore, no effect of carrier type was observed.

517

518 Furthermore, the color differences (ΔE^*_{ab}) were calculated among ANCE and AB WP
519 treatments (Figure 3). According to Martínez et al., (2001), a $\Delta E^*_{ab} > 3$ units indicate that color
520 differences are detectable by the human eye (as an average observer).

521 --- Figure 3 insert here ---

522 As Figure 3 depicts, detectable differences were found among treatments (same spray
523 drying conditions) when ANCE and AB WP were compared. However, Lightness (ΔL), Chroma (ΔC),

524 and Hue (ΔH) contributions to those differences were dependent on conditions. The greatest
525 contribution values of ΔL in WP color differences were found in treatments using MD_{DE10} (C3, C4, C7,
526 and C8), due to the white color of MD_{DE10}, and a scale-up of 8% to 10% produced a rise in the
527 contribution of ΔL (C7 and C8). The results related to ΔC , highlight that the maximum contribution of
528 this color parameter to color differences was found for treatments with 8% of MD:GA, specifically,
529 for treatment C2, its high-level ΔC contribution in ΔE^*_{ab} can be related to that AB WP obtained with
530 8% and 145°C had the highest anthocyanin retention. According to Silva et al., (2013), the use of GA
531 as a carrier increases the ΔE^*_{ab} value of powder relative to MD carrier. Nevertheless, in ANCE and AB
532 WP results of color differences were mainly attributed to carrier percentage instead of carrier type.
533 Mirhojati et al., (2017) reported in encapsulation of anthocyanins from chokeberry that color
534 differences of powders depend on the type of coating material used and that; there is a relationship
535 between the percentage of coating material and the brightness of powder.

536 Moreover, the ΔE^*_{ab} was calculated to compare C3 ANCE and C2 AB WP, treatments with
537 the high-ranking anthocyanin content. As seen in **Figure 3**, $\Delta E^*_{ab} = 5.1$ units were obtained, which
538 indicates that a visual color difference exists between ANCE and AB WP and revealed that the main
539 difference contribution was due to ΔC . As expected, owing to the highest anthocyanin content in AB
540 WP, a ΔC difference is reasonable considering that C^* is related to color saturation. The results here
541 are presented to strengthen the idea that AB WP has the potential to be used as a natural food
542 colorant.

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551 **Conclusions**

552 All the conditions evaluated in this investigation allowed to obtain wine powders (WP) with
553 an $a_w < 0.25$ which is known to be adequate for storage stability of WP. In the case of ANCE wine, the
554 optimum spray drying conditions were those used in treatment C3 (145°C and 8% MD_{DE10}), whereas
555 for AB wine, treatment C2 (145°C and 8% MD:GA) was one providing the best results. In both cases,
556 these conditions led to greater anthocyanin concentration in the final WP. The observed behavior of
557 individual anthocyanin compounds may also be attributed to the protection afforded by MD_{DE10} and
558 MD:GA. It is to be noted that the ratio of the carrier: wine dry extract is also detrimental to the final
559 concentration of anthocyanin in WP due to a dilution effect, for this reason, an adequate balance
560 must be selected. The carrier percentage was the most important variable for total anthocyanin
561 encapsulation whereas for flavan-3-ols this parameter was observed with a lesser effect. These
562 results point out that the spray-dried ANCE and AB WP could potentially be used as functional food
563 ingredients providing red-blue colors and a high concentration of antioxidant compounds, as long as
564 the a_w and pH values of foods are adequate.

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TABLES

Table 1. Drying conditions and physicochemical characteristics of ANCE and AB WP.

Table 2. Anthocyanin profile by HPLC-DAD-MS of ANCE and AB wine (mg L⁻¹).

Table 3. Anthocyanin profile by HPLC-DAD-MS of treatments C3 ANCE and C2 AB WP (mg/100 g WP).

Table 4. Tristimulus parameters were determined for ANCE and AB WP.

FIGURES

Figure 1. Anthocyanin composition in ANCE **(a)** and AB **(b)** WP for spray-dried treatments (C1 to C8). Mean \pm SD (mg/100 g WP, n=3). Different letters indicate significant differences between treatments for total content (Tukey HSD test, $p < 0.05$).

Figure 2. Content of catechins and procyanidins (PC) and gallocatechins and prodelpidinidins (PD) in (a) ANCE and (b) AB WP for the eight spray drying treatments assayed (C1 to C8). Results are shown as mean \pm SD (mg/100 g WP, n=3). Different letters within each wine variety indicate significant differences between treatments (Tukey HSD test, $p < 0.05$).

Figure 3. Color differences (ΔE^*_{ab}) between ANCE and AB WP for each treatment under the same conditions (inlet air temperature, carrier type, and % carrier) and color differences among ANCE C3 vs AB C2. The graphic is also showing the contribution of Lightness, Chroma, and Hue (ΔL , ΔC , ΔH) to those differences.

